

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231 on 113102



03C0
S
Patent Application
Docket No. SOE-100XC1
Serial No. 10/002,562

Christine Q. McLeod
Christine Q. McLeod, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : Not yet assigned
Applicant(s) : Mark van Soestbergen, Kent B. Tambling
Serial No. : 10/002,562
Filed : November 1, 2001
For : Method and System for Banking and Exchanging Emission Reduction Credits

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

Applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

Christine Q. McLeod
Patent Attorney
Registration No. 36,213
Phone No.: (407) 426-7500
Address : Saliwanchik, Lloyd & Saliwanchik
A Professional Association
2421 N.W. 41st Street, Suite A-1
Gainesville, FL 32606-6669

CQM/gm
Enclosures

Form PTO-1449
(REV. 7-80)

JAN 25 2002

INFORMATION ENCLOSURE STATEMENT
(Use several sheets if necessary)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
SOE-100XC1SERIAL NO.
10/002,562

APPLICANT(S): Mark van Soestbergen, Kent B. Tambling

FILING DATE
November 1, 2001GROUP ART UNIT
Not yet assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER						DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	7	8	7	4	0	2	7/28/98	Potter et al.			
	AB	5	8	8	7	5	4	7	3/30/99	Caveny et al.			
	AC	5	9	1	5	2	0	9	6/22/99	Lawrence			
	AD	5	9	2	4	0	8	3	7/13/99	Silverman et al.			
	AE	5	9	5	0	1	7	7	9/7/99	Lupien et al.			
	AF	5	9	6	3	9	2	3	10/5/99	Garber			
	AG	5	9	7	5	0	2	0	11/2/99	Caveny et al.			
	AH	5	9	9	5	9	4	7	11/30/99	Fraser et al.			
	AI	6	0	0	5	9	3	9	12/21/99	Fortenberry et al.			
	AJ												
	AK												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER						DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION YES NO
	AL												
	AM												
	AN												
	AO												
	AP												

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ												
	AR												
	AS												

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.